



IN THE GRAND COURT OF THE CAYMAN ISLANDS

CAUSE NO. FSD OF 2022 ()

IN THE MATTER OF THE EVIDENCE (PROCEEDINGS IN OTHER JURISDICTIONS) (CAYMAN ISLANDS) ORDER 1978

AND IN THE MATTER OF A CIVIL PROCEEDING NOW PENDING BEFORE THE SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE COUNTY OF SAN DIEGO ENTITLED AS FOLLOWS:

SORRENTO THERAPEUTICS, INC. ET AL. V LI ET AL.
37-2021-00026791-CU-BT-CTL

BETWEEN:

SORRENTO THERAPEUTICS, INC.
LEVANA SUZHOU BIOPHARMA CO. LTD.

PLAINTIFFS

AND

CBC GROUP INVESTMENT MANAGEMENT, LTD.

DEFENDANT

ORIGINATING SUMMONS

**TO: CBC GROUP INVESTMENT MANAGEMENT, LTD. c/o Maples Corporate Services Limited,
P.O. Box 309, Ugland House, South Church Street, George Town, Grand Cayman K1-1104**

LET THE DEFENDANT, within 14 days of service of this Summons on them, counting the day of service, return the accompanying Acknowledgement of Service to the Courts Office, PO Box, 495G, George Town, Grand Cayman.

By this Summons, which is issued on the application of Sorrento Therapeutics, Inc. and Levana Suzhou Biopharma Co. Ltd (the **Plaintiffs**), the Plaintiffs seek that an order be made under *The Evidence (Proceedings in Other Jurisdictions) (Cayman Islands) Order 1978* requiring the Defendant to produce evidence for the purposes of civil proceedings commenced in the Superior Court of the

State of California for the County of San Diego, action number 37-2021-00026791-CU-BT-CTL, and which gives effect to a request made by the Honourable Judge Ronald F Frazier on 1 June 2022, which is exhibited to the Affidavit of Robert James Ellison dated 17 August 2022 in support of this Summons.

If the Defendant does not acknowledge service, such judgment may be given or order made against or in relation to them as the Court may think just and expedient.

Dated the 17th day of August 2022



Harney Westwood & Riegels

Attorneys for the Applicants

TIME ESTIMATE: The estimated length of the hearing of this Originating Summons is 3 hours.

IMPORTANT

Directions for Acknowledgement of Service are given with the accompanying form.

This Summons was issued by Harney Westwood & Riegels, attorneys for the Applicants, whose address for service is 3rdFloor, Harbour Place, 103 South Church Street, Grand Cayman, PO Box 10240, KY1-1002, Cayman Islands. (Ref: JGW/RXZ/055946.0001)